IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): **Capers, et al.**

No.: 09/781,925

Filing Date: February 12, 2001

Title: **INTEGRATED COMMUNICATION SERVER AND METHOD**

Examiner: Angel L. Casiano

Art Unit: 2182

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INFORMATION DISCLOSURE STATEMENT

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This Information Disclosure Statement is submitted:

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(Within three months of either ☐ the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d) or ☐ the date of national stage entry of international application; or ☐ before mailing date of first office action on the merits; or ☐ before mailing date of a first office action after filing of a request for continued examination under 37 CFR 1.114).

☒ under 37 CFR 1.97 (c) together with either a:
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☐ the $ 180.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account **19-2179** . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25.
(After the 37 CFR 1.97 (b) time period, but before mailing date of any of ☐ a final action under 37 CFR 1.113, or ☐ a notice of allowance under 37 CFR 1.311, or ☐ an action that otherwise closes prosecution).

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Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56:
☒ together with hard copies of all references (e.g. if this application was filed before June 30, 2003); or
☐ with hard copies, if any, of only non-U.S. patents or non-U.S. published patent applications or U.S. pending unpublished patent applications (or portion thereof) or publications or other information of which applicant(s) are aware and which should be made of record in the above-identified application; or
☐ without hard copies of publications or other information which have been submitted previously in the parent application (application no._____) to which the above-identified application claims priority under 35 USC 120 and which should be made of record in the above-identified application.

37 CFR 1.97(e) Certifications:

☒ The undersigned hereby certifies under **37 CFR 1.97(e)(1)** that each item of information contained in the information disclosure statement was firsts cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; **OR**

☐ The undersigned certifies under **37 CFR 1.97(e)(2)** that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on ☐ the attached separate sheet or ☐ incorporated in the applicant's specification or ☐ by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c).

☒ Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **19-2179** pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is or is considered to be material to patentability.

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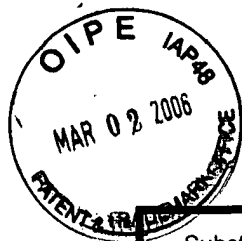170 Wood Avenue South
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Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

| Sheet | 1 | of | 1 |

Complete if Known	
Application Number	09/781,925
Filing Date	February 12, 2001
First Named Inventor	Capers, et al.
Group Art Unit	2182
Examiner Name	Angel L. Casiano
Attorney Docket Number	2001P07466US01

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code[2] (if known)			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
		Office[3]	Number[4]	Kind Code[5] (if known)				
	A	JP	10-40196		Peter A. Hansen	02-13-1998		
	B	JP	9-231173		Masui Nobuhiko	09-05-1997		
	C	JP	2001-022716		Nishi Koji	01-26-2001		

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1]Unique citation designation number. [2]See attached Kinds of U.S. Patent Documents. [3]Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4]For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6]Applicant is to place a check mark here if English language Translation is attached.

Stand der Technik / Prior Art Documents

Unser Zeichen / Our Ref. P2002,0726

Titel / Title: Integrated Communication Server and Method

Internat. Recherchenbericht/Prüfungsverfahren
International Search/Examination procedure

	Already in the file of:
US 6,061,332	US
EP 0 854 607	US
EP 0 820 203	US
EP 0 791 881	US

JP-Prüfungsverfahren
JP-Examination procedure

		Already in the file of:
✗ JP 10-40196	= US 5,838,907	
✗ JP 9-231173		
✗ JP 2001-022716	= US 6,804,722	

KR-Prüfungsverfahren
KR-Examination procedure

	Already in the file of:
US 5,761,432	